

Search Report from Ginger D. Roberts

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?show files;ds
File 15:ABI/Inform(R) 1971-2002/Oct 18
      (c) 2002 ProQuest Info&Learning
File 16:Gale Group PROMT(R) 1990-2002/Oct 18
      (c) 2002 The Gale Group
File 148:Gale Group Trade & Industry DB 1976-2002/Oct 18
      (c) 2002 The Gale Group
File 160:Gale Group PROMT(R) 1972-1989
      (c) 1999 The Gale Group
File 275:Gale Group Computer DB(TM) 1983-2002/Oct 18
      (c) 2002 The Gale Group
File 621:Gale Group New Prod.Annou.(R) 1985-2002/Oct 17
      (c) 2002 The Gale Group
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Set	Items	Description
S1	18896	(ENTER? OR INPUT? OR INSERT? OR TYPING OR RECORDING OR SCAN? OR FILING) (6N) (TRADE OR TRADES OR EXCHANGE OR EXCHANGES OR BUY (3N) SELL OR AUCTION) (6N) (DATA OR INFORMATION OR TRANSACTION?)
S2	3210660	SERVER? OR DATABASE? OR DATA()BASE? OR DATA()STORAGE? OR ARCHIVE? OR WAREHOUSE? OR WARE()HOUSE OR DISK? ? OR DISC? ? OR MAINFRAME? OR MAIN()FRAME?
S3	72493	(DATA OR INFORMATION OR TRANSACTION) (2W) (FILE OR FILES)
S4	171553	(MONITOR? OR TRACK? OR TRACE? OR TRACING? OR WATCH? OR DETECT? OR MIRROR? OR COPY?) (6N) (EDIT? OR MODIF? OR CHANGES OR UPDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) () (DATA OR INFORMATION))
S5	386581	(REPORT? OR LOG? OR DOCUMENT? OR PAPER()TRAIL? OR SUMMARIS? OR SUMMARIZ? OR SUMMARY OR JOURNAL?) (6N) (EDIT? OR MODIF? OR CHANGES OR UPDATE OR UPDATES OR ADDITION? ? OR (ADDED OR NEW) () (DATA OR INFORMATION))
S6	1484	(RECORDING OR RECORD OR TRACK? OR MONITOR? OR TRACE? OR TRACING) (6N) (TRADE OR TRADES) (6N) (RECORDS OR ACTIVITY OR ACTIVITIES OR TRANSACTION? ?)
S7	2	S1(S)S2(S)S3(S)S4(S)S5
S8	4	S1(S)S4(S)S5
S9	10	S5(S)S6
S10	32	S6(S)AUDIT?
S11	22	S4(S)S6
S12	60	S7:S11
S13	45	S12 NOT PY>2000
S14	34	RD (unique items)

?t14/3,k/all

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14/3,K/1      (Item 1 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2002 ProQuest Info&Learning. All rts. reserv.
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01952123 46237030
ScanMail 3.0 offers new emergency tools
Marshall, Patrick
InfoWorld v21n45 PP: 38-40 Nov 8, 1999
ISSN: 0199-6649 JRNL CODE: IFW
WORD COUNT: 820
```

...TEXT: of the system and its services. The utility includes the ScanMail Performance Monitor, which displays information about server performance, as well as direct access to Exchange Server Performance Monitors.

SCANMAIL 'S Windows management interface offers easy access to configuration features, monitors , and logs .

Fully integrated

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In addition to integrating with TrendMicro's other anti-virus products, ScanMail integrates with the optional eManager...

14/3,K/2 (Item 2 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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01447620 00-98607

Key compliance issues

Anonymous

International Financial Law Review v16n4 PP: 10-13 Apr 1997
ISSN: 0262-6969 JRNL CODE: IFL
WORD COUNT: 3500

...TEXT: portfolio manager reviews allocation decisions;

* whether the adviser's policies and procedures expressly cover principal trades , including riskless principal transactions ;

* whether there is an audit trail that permits effective monitoring of trading practices;

* whether there is a review and monitoring system in place to prevent...

14/3,K/3 (Item 3 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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01342373 99-91769

Active and passive foreign exchange risk management: A primer
Kucemba, Stanley
TMA Journal v16n6 PP: 18-24 Nov/Dec 1996
ISSN: 1080-1162 JRNL CODE: JCG
WORD COUNT: 2344

...TEXT: currency and simultaneous purchase of another non-dollar currency. Software packages can be purchased to monitor this activity . If you handle only a few trades every month, you may find that you can track credit exposure (mark-to-market value) and an estimate of the cost of your hedging...

... you have sufficient volume, the most important aspects of the software are the abilities to update current and projected exposures and the reporting of their impact, so you are aware of accounting gains and losses on your hedge...

14/3,K/4 (Item 4 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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01048443 96-97836

Derivatives: What's an auditor to do?

Winograd, Barry N; Herz, Robert H
Journal of Accountancy v179n6 PP: 75-80 Jun 1995
ISSN: 0021-8448 JRNL CODE: JAC
WORD COUNT: 2631

...TEXT: taken.

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* Individual transaction authorizations, including evidence of approval by a responsible official independent of the **transaction** originator.

* Completeness of **recording** of **transactions**, including the functioning of specific controls over capturing **trades** and review of periodic reconciliations of trade activity and related balances. **Auditors** also should consider confirming the details of a sample of transactions directly with the counterparties...

14/3,K/5 (Item 5 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00976590 96-25983
Meat and potatoes (or myth)
Corcella, Karen
Wall Street & Technology v12n6 PP: 16-21 Oct 1994
ISSN: 1060-989X JRNLD CODE: WSC
WORD COUNT: 1777

...TEXT: investment management arena, is introducing the Moxy Trading Order Management System. Moxy enhances control of **trades**, automates order preparation and monitors trading **activity**. In a Windows environment, the trader can maintain an **audit** trail and allocate orders, all with a few keystrokes.

Thomson's TradeView is not far...

14/3,K/6 (Item 6 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2002 ProQuest Info&Learning. All rts. reserv.

00870160 95-19552
Emerging markets are buys again. Here are the best.
Neumeier, Shelley
Fortune v129n13 PP: 23-28 Jun 27, 1994
ISSN: 0015-8259 JRNLD CODE: FOR
WORD COUNT: 2123

...TEXT: out by next year's Turkey, it's wise to diversify your holdings. Ian Wilson, **editor** of Micropal's Emerging Market Fund **Monitor**, recommends the Templeton Developing Markets Trust, an open-end fund, and the Morgan Stanley Emerging Markets fund, a closed-end fund that **trades** at a slight 2% premium. Both are managed by companies with strong **track records** and years of experience.

The recent selloff in emerging markets is not the last. But...

14/3,K/7 (Item 7 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00694072 93-43293
Electronic data interchange agreements: Private contracting toward a global environment
Boss, Amelia H
Northwestern Journal of International Law & Business v13n1 PP: 31-70
Spring/Summer 1992
ISSN: 0196-3228 JRNLD CODE: NJI
WORD COUNT: 18728

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...TEXT: to deal with only the communications aspect of the trading relationship require data logs and audit trails.(110) This is one of those areas where, while record keeping issues are not...

...ABA Model Agreement, which does not hesitate to deal with issues arising in the underlying trade transaction , does not contain any record keeping requirements.(111) In an effort to achieve legal certainty regarding electronic trading, the key...

14/3,K/8 (Item 8 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00344208 87-03042
In Pursuit of Insider Traders
Phelan, John J., Jr.
Bankers Magazine v16n6 PP: 51-54 Nov/Dec 1986
ISSN: 0005-545X JRNL CODE: BZE

...ABSTRACT: entire economic system is at risk. The New York Stock Exchange (NYSE) has automated its audit and surveillance systems to monitor trades and detect unethical activities . The NYSE also participates in an intermarket surveillance group and helps apply technology to provide...

14/3,K/9 (Item 1 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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07484789 Supplier Number: 62851778 (USE FORMAT 7 FOR FULLTEXT)
Currenex Adds Foreign Exchange Options Trading to Web Service.
PR Newswire, pNA
June 21, 2000
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 580

... from existing systems
-- Simultaneous FX competitive bids from multiple banks
-- Execution and confirmation of FX transactions
-- Monitoring of FX trades through sophisticated trade and audit reports
Currenex is on track to handle \$1 trillion in foreign exchange transactions in 2000. Among the leading corporations currently using the service are MasterCard International, Intel Corporation...

14/3,K/10 (Item 2 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2002 The Gale Group. All rts. reserv.

07282619 Supplier Number: 61800312 (USE FORMAT 7 FOR FULLTEXT)
Liquid Audio Extends Internet Music Solutions to Production and Broadcast Professionals Worldwide.
PR Newswire, pNA
April 10, 2000
Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 723

... on the computer by the user or transferred to compact disc for

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later review.

Radio & Records (R&R), a leading trade publication for the radio and record industries, and Liquid Audio are working together to provide radio stations a convenient, organized and...

...releases to provide radio programmers of all market sizes with the most convenient means of auditioning music for airplay consideration.

Voicebank.net (www.voicebank.net) is the new standard in voice...

14/3,K/11 (Item 3 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2002 The Gale Group. All rts. reserv.

06853127 Supplier Number: 58056656 (USE FORMAT 7 FOR FULLTEXT)
VantagePoint Network(R) Launches Online Crop Recordkeeping System.

PR Newswire, p6766

Dec 7, 1999

Language: English Record Type: Fulltext
Document Type: Newswire; Trade
Word Count: 865

... VantagePoint offers free market, news and weather information at www.vantagepoint.com. The site offers updates from Doane's Daily Ag News Reports, Brock Market Commentaries, AccuWeather local forecasts, and national radar and satellite maps. In addition, commodity price activities can be monitored for the Chicago Board of Trade, the Chicago Mercantile Exchange, the Kansas City Board of Trade and 12 other exchanges.

VantagePoint...

14/3,K/12 (Item 4 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2002 The Gale Group. All rts. reserv.

06331518 Supplier Number: 54606444 (USE FORMAT 7 FOR FULLTEXT)
Y2K Goes Corporate.(preparing a corporate network for the year

2000)(Technology Information)

Schenk, Rob; Kafaipour, Shawn

Computer Shopper, v19, n6, p214(1)

June, 1999

Language: English Record Type: Fulltext Abstract
Document Type: Magazine/Journal; General Trade
Word Count: 1361

... to collect data---a very time-consuming process. Because the scans are controlled from a server console, data can be quickly aggregated and published in predefined reports to monitor progress, update management, as well as determine if noncompliant applications have been reintroduced to your LAN.

For...

14/3,K/13 (Item 5 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
(c) 2002 The Gale Group. All rts. reserv.

02908459 Supplier Number: 43926737 (USE FORMAT 7 FOR FULLTEXT)
STRATUS COMPUTER AND FEMCON ANNOUNCE DEVELOPMENT OF NEXT-GENERATION
SECURITIES TRADING SYSTEM

News Release, p1
June 28, 1993

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Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 716

... view of current positions, market valuation, and both actual and potential profit or loss. In addition, NuCOLT will allow head traders to monitor every equities trade, enabling the trading organization's management to track all trading activity within the firm.
"By using a client/server architecture based on advanced workstations and powerful...

14/3,K/14 (Item 6 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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02158006 Supplier Number: 42805057 (USE FORMAT 7 FOR FULLTEXT)
Chicago Futures Exchanges Begin Electronic Trading Test 03/02/92
Newsbytes, pN/A
March 2, 1992
Language: English Record Type: Fulltext
Document Type: Newswire; General Trade
Word Count: 425

... of the system at about \$6 million, and said it will provide a tamper-proof audit trail, recording each transaction within 500 milliseconds. The Commodity Futures Trading Commission (CFTC) requires that trades be recorded within 60 seconds.

A CFTC spokesperson said the commission is pleased with the...

14/3,K/15 (Item 7 from file: 16)
DIALOG(R)File 16:Gale Group PROMT(R)
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01846743 Supplier Number: 42337613 (USE FORMAT 7 FOR FULLTEXT)
Effron Enterprises
Pensions & Investments, p23
Sept 2, 1991
Language: English Record Type: Fulltext
Document Type: Magazine/Journal; Trade
Word Count: 115

... fully integrated domestic and international investment management system, combining portfolio accounting and performance measurement. It monitors transactions daily, providing instantaneous, constant updates on trades and balances. It may be used to monitor all types of portfolios. Any accounting method may be accommodated, and can be customized to...

14/3,K/16 (Item 1 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2002 The Gale Group. All rts. reserv.

11881470 SUPPLIER NUMBER: 59670317 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Bond-Processing Meeting Held As NASD's TRACE Draws Fire.
Hume, Lynn
Bond Buyer, 331, 30839, 1
March 1, 2000
ISSN: 0732-0469 LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 905 LINE COUNT: 00075

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... it is not clear that the system would not also be used for customer-side **trade** matching." In addition , **TRACE** "would unjustifiably interfere with the global efforts under way to redesign the post- **trade** processing of institutional **transactions** , " Thomson said.

Copyright © 2000 American Banker, Inc. All Rights Reserved.
<http://www.bondbuyer.com>

14/3,K/17 (Item 2 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2002 The Gale Group. All rts. reserv.

10963684 SUPPLIER NUMBER: 54413527 (USE FORMAT 7 OR 9 FOR FULL TEXT)
NextLinx Smooths Trade-Documentation Process.
Logistics Management Distribution Report, 38, 4, 98(1)
April 30, 1999
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 182 LINE COUNT: 00021

... of Velocity's applications, ImportLinx, contains features that help users handle regulatory compliance, duty drawback, **audit trail/record keeping**, assist/valuation alerts, antidumping/countervailing duty management, import **transaction reconciliation**, EDI with brokers, preferential **trade programs**, liquidation/protest **tracking** , and global tariff table **changes** .

The other three Velocity applications are **LogisticsLinx** , ExportLinx.com, and CustomerLinx.

14/3,K/18 (Item 3 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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10563234 SUPPLIER NUMBER: 21232982 (USE FORMAT 7 OR 9 FOR FULL TEXT)
The dynamics of quoted liquidity around large trades on the NYSE. (New York Stock Exchange)
Moulton, Jonathan S.
Journal of Financial Research, v21, n3, p355(17)
Fall, 1998
ISSN: 0270-2592 LANGUAGE: English RECORD TYPE: Fulltext; Abstract
WORD COUNT: 5912 LINE COUNT: 00514

... November 1, 1990-January 31, 1991). For each of the included stocks, information on the **transaction record (Trades)** , partial limit book (Orders), **audit trail (Reports)** , and quotation stream (Quotes) are collected.

The Trades database includes price, trade size...

14/3,K/19 (Item 4 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2002 The Gale Group. All rts. reserv.

08866900 SUPPLIER NUMBER: 18400259
Trading Industries, Trading Regions: International Trade, American Industry, and Regional Economic Development. (book reviews)
Oberhauser, Ann M.
Economic Geography, v72, n2, p221(4)
April, 1996
DOCUMENT TYPE: Review ISSN: 0013-0095 LANGUAGE: English
RECORD TYPE: Fulltext
WORD COUNT: 1858 LINE COUNT: 00161

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TEXT:

...renamed World Trade Organization (WTO) attempts to resolve heated trade disputes. The contributors to this **edited** book offer thorough and well-**documented** analyses of specific industries and regions in the context of this increasingly dynamic global **trade** arena. The authors' established **track records** in industrial geography, regional development, and public policy are evident in their comprehensive examinations of...

14/3,K/20 (Item 5 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2002 The Gale Group. All rts. reserv.

08738141 SUPPLIER NUMBER: 18390005
Competitive environmental scanning and export involvement: an initial inquiry.
Lim, Jeen-Su; Sharkey, Thomas W.; Kim, Ken I.
International Marketing Review, v13, n1, p65(16)
Feb, 1996
ISSN: 0265-1335 LANGUAGE: English RECORD TYPE: Abstract

...ABSTRACT: stance in the internationalization process. At first, exporters focus exclusively on local markets and internal **activities**. Later, they gain interest in the outside environment and learn to **monitor trade opportunities, competitors' activities, business external changes and government programs**. Export success is achieved as export firms acquire more data through more...

14/3,K/21 (Item 6 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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08437281 SUPPLIER NUMBER: 17920663 (USE FORMAT 7 OR 9 FOR FULL TEXT)
President Clinton receives analysis of his Internet image.
Business Wire, p2050296
Feb 5, 1996
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 289 LINE COUNT: 00030

... the first companies in the world to offer Internet and World Wide Web measurement. In **addition to tracking Internet activity**, The Delahaye Group also evaluates sponsorships, **trade shows, internal communications, international communications and public relations programs**. Katharine Paine will discuss the president...

14/3,K/22 (Item 7 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c)2002 The Gale Group. All rts. reserv.

08120209 SUPPLIER NUMBER: 17380769
Automation offers clearer picture of US exports.
Mongelluzzo, Bill
Journal of Commerce and Commercial, v405, n28538, p1A(2)
August 15, 1995
ISSN: 0361-5561 LANGUAGE: English RECORD TYPE: Abstract

...ABSTRACT: s Automated Export System (AES) is expected to improve the collection of export statistics and **audit** of transfer pricing. Computer experts, however, doubt that the AES will minimize the underreporting of

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trade statistics and stress that transfer pricing should be continuously monitored to prevent fraud. Inaccurate trade statistics, which are also attributed to money laundering activities, adversely affects the US' position during trade negotiations.

14/3,K/23 (Item 8 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2002 The Gale Group. All rts. reserv.

07309621 SUPPLIER NUMBER: 16029054 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Textbooks from the terminal. (on-demand custom printing of college
textbooks)
Taylor, Susie
Publishers Weekly, v241, n24, p42(3)
June 13, 1994
ISSN: 0000-0019 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 2422 LINE COUNT: 00195

... package called the Document Services Platform (DocuSP), which is supposed to guarantee that desktop computers, scanners, storage devices and printers can exchange information no matter who manufactured the devices or where they are located. There is also a "document management application" called Xerox Documents on Demand for editing and assembling books, and software for tracking use and copyright of materials printed.

The new DocuSP framework will open up the Xerox...

14/3,K/24 (Item 9 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2002 The Gale Group. All rts. reserv.

07291832 SUPPLIER NUMBER: 16019320 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Emerging markets are buys again. (developing countries)
Neumeier, Shelley
Fortune, v129, n13, p23(3)
June 27, 1994
ISSN: 0015-8259 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 2304 LINE COUNT: 00180

14/3,K/25 (Item 10 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2002 The Gale Group. All rts. reserv.

06222846 SUPPLIER NUMBER: 14176857 (USE FORMAT 7 OR 9 FOR FULL TEXT)
From exchanges to quote screens. (futures trading techniques)
Burke, Gibbons
Futures (Cedar Falls, Iowa), v21, n13, p32(2)
Nov, 1992
ISSN: 0746-2468 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 1204 LINE COUNT: 00087

... the floor-trader edge will diminish. Systems such as GLOBEX (after-hours trading system) and Audit (hand-held devices used by floor traders to record trades -- transactions are immediately broadcast to a computer that matches trades on the spot, enabling exchanges to publish transaction volume figures) will provide better, more timely price information to off-floor traders.

The off...

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14/3,K/26 (Item 11 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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04165151 SUPPLIER NUMBER: 08200615 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Energy information resources. (directory)
Vervalin, Charles H.
Hydrocarbon Processing, v68, n11, p119(13)
Nov, 1989
DOCUMENT TYPE: directory ISSN: 0018-8190 LANGUAGE: ENGLISH
RECORD TYPE: FULLTEXT
WORD COUNT: 7761 LINE COUNT: 00655

... Japan Gas Assn. (JGA), 38, Shiba Kotohira-cho, Minato-ku, Tokyo 105, Japan. This national trade association monitors and reports on all Japanese gas activities. Japan Petroleum Assn. (JPA), Keidamen Bldg., 1-9-4 Ohte-machi, Chiyodapku, Tokyo 100, Japan. This national trade association monitors and reports on all Japanese oil activities. National Coal Assn. (NCA), 1130 17th St. N.W., Washington, D.C. 20036. This national...

...winter), aviation turbine fuels, heating oils, diesel fuel oils and trends of petroleum fuels. In addition to these printed reports, magnetic computer tapes of raw data for some years are available.

Samples of diesel fuel...

14/3,K/27 (Item 12 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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04153616 SUPPLIER NUMBER: 08074769 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Senate committee introduces legislation to increase C.F.T.C. power, resources. (Commodity Futures Trading Commission)
Milling & Baking News, v68, n32, p12(1)
Oct 10, 1989
ISSN: 0091-4843 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 340 LINE COUNT: 00027

... within three years to develop a new generation of equipment to "independently, precisely and completely" track transaction between traders. The Chicago Board of Trade and Chicago Mercantile Exchange have agreed to jointly spend \$5 million developing hand-held electronic trading units they say would tighten the audit trail (see Milling & Baking News of Sept. 12, Page 84).

The bill would authorize a...

14/3,K/28 (Item 13 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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03867889 SUPPLIER NUMBER: 07402701 (USE FORMAT 7 OR 9 FOR FULL TEXT)
CFTC criticizes CBOT computer surveillance. (U.S. Commodity Futures Trading Commission; Chicago Board of Trade)
Schmitt, Bill
American Metal Market, v97, n37, p2(2)
Feb 23, 1989
ISSN: 0002-9998 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT
WORD COUNT: 477 LINE COUNT: 00040

... actually was a mixed review, calling the exchange's surveillance system "effective" in collecting and monitoring general market activity

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but "deficient" in generating investigations of trade practice abuses.

The review, which covered a range of CBOT monitoring programs, including the computerized "audit trail" system, spanned from approximately mid-1987 to mid-1988. As the CBOT pointed out in its rebuttal statement, the watchdog agency acknowledged that modifications have been made since then.

"Many of the CFTC's recommendations have been implemented," said...

14/3,K/29 (Item 14 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
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03088864 SUPPLIER NUMBER: 04677194
Using microcomputers in audit; microcomputers for audit managers. (first of two-part article)
Rice, Michael
Public Finance and Accountancy, p12(6)
Jan 23, 1987
ISSN: 0305-9014 LANGUAGE: ENGLISH RECORD TYPE: CITATION

CAPTIONS: Activities of the Department of Trade and Industry). (chart); Audit activity record . (chart); Individual activity record . (table); Audit progress record . (table); Operational plan for half year ended 31-03-87. (table); Report of activity for...

14/3,K/30 (Item 1 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01948502 SUPPLIER NUMBER: 18373685 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Amazing free stuff. (1,001 best Internet downloads) (includes related article on Best of the Internet awards) (Directory)
Noble, Liesl La Grange
PC/Computing, v9, n7, p100(19)
July, 1996
DOCUMENT TYPE: Directory ISSN: 0899-1847 LANGUAGE: English
RECORD TYPE: Fulltext; Abstract
WORD COUNT: 17331 LINE COUNT: 01448

... information from CompuServe.

Fund Manager for Windows 95: A portfolio-management application to help individuals monitor and analyze their investments.

StockWatcher: Provides updates and tracks activity for designated companies using Wayfarer technology.

Trade Sizer: Helps you determine how much you should stake on each market transaction .

Wall Street The Bottom Line: A strong tracking program for virtually any investment or collectible...

14/3,K/31 (Item 2 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01509664 SUPPLIER NUMBER: 12017489 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Chicago futures exchanges begin electronic trading testing. (Chicago Board of Trade, Chicago Mercantile Exchange)
Mallory, Jim
Newsbytes, NEW03020008
March 2, 1992
LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT

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WORD COUNT: 459 LINE COUNT: 00036

... of the system at about \$6 million, and said it will provide a tamper-proof audit trail, recording each transaction within 500 milliseconds. The Commodity Futures Trading Commission (CFTC) requires that trades be recorded within 60 seconds.

A CFTC spokesperson said the commission is pleased with the...

14/3,K/32 (Item 3 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01299323 SUPPLIER NUMBER: 07349604 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Modules manage mortgage deals. (mortgage-backed securities software from
Innovative Software Systems Corp.)
Computers in Banking, v6, n6, p46(1)
June, 1989
ISSN: 0742-6496 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 518 LINE COUNT: 00043

... processing module has the same features as the accounting module, but adds expanded reporting for transactions . A reconciliation function monitors all entered trades , and insures that the minimum amount of data needed to complete each trade is available...

...system data can be converted into various reports, so users are also better able to monitor changes in the market

Planned future modules include a communications module, safekeeping, information management, and decision...

14/3,K/33 (Item 4 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01250804 SUPPLIER NUMBER: 06792961 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Bank security Confab eyes AI systems. (Bank Administration Institute's
Security Conference; artificial intelligence)
Sherman, M.C.
Computers in Banking, v5, n6, p16(2)
June, 1988
ISSN: 0742-6496 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT
WORD COUNT: 772 LINE COUNT: 00065

... system, says Jacobson, now provides surveillance over Chemical Bank's \$750 billion annual foreign exchange activity , monitoring every trade . The system was recently implemented to replace manual spot-checking, so that "unusual" trades might...

...the system, one which would never have been noticed by the bank's traders or auditors .

American Express has also discovered that AI-based decisions not only reduce fraud, but also...

14/3,K/34 (Item 5 from file: 275)
DIALOG(R)File 275:Gale Group Computer DB(TM)
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01163072 SUPPLIER NUMBER: 04365711
Tracking inside trade trends insufficient without analysis. (column)
Porteus, Kathy

Search Report from Ginger D. Roberts

Computerworld, v20, n38, p144(1)

Sept 22, 1986

DOCUMENT TYPE: column ISSN: 0010-4841 LANGUAGE: ENGLISH

RECORD TYPE: ABSTRACT

...ABSTRACT: inside information', a practice that is illegal. The Securities and Exchange Commission requires insiders to report changes in holdings in their companies, and various publications and services monitor these trades . One advocate of monitoring inside trades warns that such activities ought not to be the only basis on which investment decisions are made.

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